DEPARTMENT OF HEALTH & HUMAN SERVICES
Centers for Medicare & Medicaid Services
7500 Security Boulevard
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CMS/
CENTERS for MEDICARE & MEDICAID SERVICES

OFFICE OF INFORMATION SERVICES

DATE: April 24, 2006

TO: Systems Staff at Medicare Advantage, Prescription Drug Plan, Cost, PACE and
                Demonstration Organizations

FROM: Henry Chao, MA/Part D Implementation Manager /s/

SUBJECT: Transmission of Monthly 'NoRx' Files

CMS will begin providing monthly 'NoRx' files to plans identifying those enrollees that do not currently have 4Rx information stored in CMS files. These 'NoRx' files will be the same as the files provided in early March of this year. The initial monthly files will be sent April 26, 2006 which coincide with the transmission of the monthly MARx full enrollment files. Future monthly 'NoRx' files will also be sent the same day as the monthly MARx full enrollment files. The file layout CMS will use to inform your organization of incomplete 4Rx information will be in the CMS 4Rx Response File Format. However, since Error Return Codes do not apply in this circumstance, these fields will be blank.

CMS is requesting your organizations immediate attention in submitting 4Rx information for these beneficiaries. In addition, plans should not hold back any other 4Rx record submissions while researching these "gaps".

To distinguish the difference between this file and a standard 4Rx Response File, a Detail Record Type containing a value of "NRX" in positions 1 – 3 of the file layout will indicate that this record is a request for your organization to send CMS 4Rx information for the beneficiary.

The file containing this information will be transmitted to your plan using the same methodology that is used to transmit your 4Rx Response File. The naming convention is as follows: XXXXX = 5 Character Contract ID of sending entity.

Gentran:
P#MBD.#NORX.XXXXX.OUT.NTFCTN

Connnect:Direct (Mainframe): zzzzzzzz = Plan-provided high level qualifier **
zzzzzzzz.#NORX.XXXXX.OUT.NTFCTN

Connect:Direct (Non-Mainframe):
\[directory]\P#MBD.#NORX.XXXXX.OUT.NTFCTN

** Important - Plans using Connect:Direct on the mainframe must delete or rename the existing dataset so that the new version can be successfully pushed to you.

Plans are required to submit 4Rx "gap" information to CMS on these beneficiaries no later than five days of receipt of the 'NoRx' file. The 4Rx Response File Format has been attached to this letter for your reference. Should you have any questions regarding this request, please contact Lorraine Zicha at 410-786-0048 or by e-mail, lorraine.zicha@cms.hhs.gov. If you have questions regarding transmission of the files, please contact the MMA Help Desk at 800-927-8069 or by e-mail, MMAHelp@cms.hhs.gov.

Record: No Rx Data Header Record

From: CMS   *To: Plans*

Data Field	Length	Position	Format	Valid Values	Field Definition
File ID Name	8	1 … 8	X(8)	"CMSNRX0H"	This field will always be set to the value "CMSNRX0H".
Sending Entity (MBD)	8	9 … 16	X(8)	"MBD " (MBD + 5 Spaces)	This field will always be set to the value "MBD ". The value specifically is MBD + 5 following Spaces.
File Creation Date	8	17 … 24	X(8)	YYYYMMDD	The date on which the 4Rx Data File was created by CMS. This value will be formulated as YYYYMMDD.
FILLER	726	25 … 750	X(726)	Spaces	No values, spaces
Total Length = 750					

Record: No RX Data Detail Record

From: CMS   *To: Plans*

Data Field	Length	Position	Format	Valid Values	Field Definition
Record Type	3	1 ... 3	X(3)	"NRX"	This field will be set to the value "NRX," which indicates that this is a detail record.
Filler	5	4 - 8	X(5)	Spaces	No value, spaces.
HICN or RRB	12	9 - 20	X(9)	HICN or RRB from MBD	This field contains the HICN or RRB number for the beneficiary that did not have 4RX data in the MBD database.
SSN	9	21 - 29	X(9)	SSN number from MBD	This field contains the SSN number for the beneficiary that did not have 4RX data in the MBD database.
Filler	60	30 - 89	X(59)	Spaces	No values, spaces
Contract ID	5	90 - 94	X(5)	Contract number from MBD	This field contains the contract number for the beneficiary that did not have 4RX data in the MBD database.
PBP	3	95 – 97	X(3)	PBP number from MBD	This field contains the PBP number for the beneficiary that did not have 4RX data in the MBD database.
Filler	71	98 - 168	X(70)	spaces	No values, Spaces
File Creation Date	8	169 ... 176	X(8)	YYYYMMDD	The date the record was created..
FILLER	574	177 ... 750	X(574)	Spaces	No values, spaces.
Total Length = 750					

Record: No RX Data Trailer Record

From: CMS   *To: Plans*

Data Field	Length	Position	Format	Valid Values	Field Definition
File ID Name	8	1 … 8	X(8)	"CMSNRX0T"	This field will always be set to the value "CMSNRX0T".
Sending Entity (MBD)	8	9 … 16	X(8)	"MBD " (MBD + 5 Spaces)	This field will always be set to the value "MBD ". The value specifically is MBD + 5 following Spaces.
File Creation Date	8	17 … 24	X(8)	YYYYMMDD	The date on which the 4Rx Data File was created by CMS. This value will be formulated as YYYYMMDD.
Filler	9	25 … 33	X(9)	Spaces	No value, spaces
Record Count	7	34 … 40	9(7)	Numeric value greater than Zero.	The total number of. Beneficiary records on this file. This value will be right-justified in the field, with leading zeros.
FILLER	710	41 … 750	X(710)	Spaces	No values, spaces.
Total Length = 750					